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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

MYUNG-LAE LEE, ET AL.

Application No.:

Filed:

For: **OPTICAL TUNABLE FILTERS AND
OPTICAL COMMUNICATIONS
DEVICES INCORPORATED THEREIN
OPTICAL TUNABLE FILTERS -**

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449. A copy of each reference is submitted herewith.

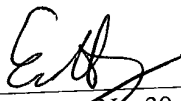
It is hereby stated that this Information Disclosure Statement is being filed within three months of the filing date of the subject application, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: _____

5/9/01


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